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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew Conover
Assignee: Symantec Corporation
Title: HEAP BUFFER OVERFLOW EXPLOITATION PREVENTION
SYSTEM AND METHOD
Serial No.: 10/796,358 Filed: March 8, 2004
Examiner: Unknown Group Art Unknown
Unit:
Docket No.: SYMC1057

Monterey, CA
May 24, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

OTHER DOCUMENTS

1)	"Advanced Doug Lea's Malloc Exploits", pp. 1-42 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/61/p61-0x06 Advanced malloc exploits.txt. Anonymous author.
2)	Kaempf, Michel, "Vudo - An Object Superstitiously Believed To Embody Magical Powers", pp. 1-49 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x08 .

3)	"Once Upon A Free()...", pp. 1-15 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL: http://www.phrack.org/phrack/57/p57-0x09 . Anonymous author.
4)	Conover, Matt, "W00W00 On Heap Overflows", pp. 1-18 [online]. Retrieved February 9, 2004. Retrieved from the internet: URL: http://www.w00w00.org/files/heaptut/heaptut.txt .

A PTO form 1449 listing these documents is enclosed.

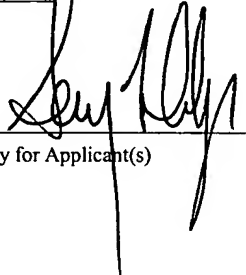
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

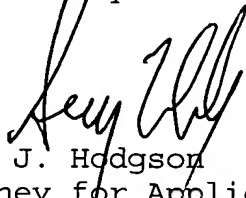
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, on May 24, 2004.



Attorney for Applicant(s)

May 24, 2004
Date of Signature

Respectfully submitted,


Serge J. Hodgson
Attorney for Applicant(s)
Reg. No. 40,017
(831) 655-0880

Form PTO-1449

Atty Docket No.

Serial No.

SYMC1057

10/796,358

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

Applicant(s)

Matthew Conover

Filing Date

March 8, 2004

Group

Unknown

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
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	AF						
	AG						
	AH						
	AI						
	AJ						
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FOREIGN PATENT DOCUMENTS

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

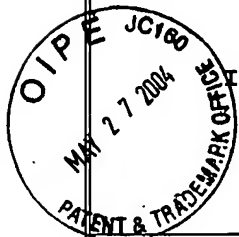
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	"Advanced Doug Lea's Malloc Exploits", pp. 1-42 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/61/p61-0x06_Advanced_malloc_exploits.txt . Anonymous author.
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AT	"Once Upon A Free()...", pp. 1-15 [online]. Retrieved February 12, 2004. Retrieved from the internet: URL:http://www.phrack.org/phrack/57/p57-0x09 . Anonymous author.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Atty Docket No. SYMC1057		Serial No. 10/796,358	
				Applicant(s) Matthew Conover			
				Filing Date March 8, 2004		Group Unknown	

U.S. PATENT DOCUMENTS							
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